



1 BOARDS AND COMMISSIONS

2 KENTUCKY BOARD OF SPEECH-LANGUAGE PATHOLOGY AND AUDIOLOGY

3 (Amendment)

4 201 KAR 17:027. Supervision requirements and caseload limitations of Speech-Language Pa-
5 thology Assistants.

6 RELATES TO: KRS 334A.033

7 STATUTORY AUTHORITY: KRS 334A.033(1)(b), 334A.080

8 NECESSITY, FUNCTION, AND CONFORMITY: KRS 334A.080(3) requires the Board of
9 Speech-Language Pathology and Audiology to promulgate responsible administrative regula-
10 tions, including administrative regulations which delineate qualifications for licensure and re-
11 newal of licensure. KRS 334A.033(1)(b) requires the board to promulgate administrative regula-
12 tions to set forth supervision requirements for speech-language pathology assistants (SLP-As).

13 This administrative regulation establishes those requirements.

14 Section 1. The supervision requirements specified in this administrative regulation shall be the
15 minimum requirements.

16 (1) The supervisor shall design and provide a supervision system that protects pupil welfare and
17 maintains the highest possible standards of quality speech-language pathology services.

18 (2) The supervisor may require additional supervision based on the experience of the speech-
19 language pathology assistant, the pupils served, and the physical or geographic proximity to the
20 supervisor.

21 (3) As the supervisory responsibility of the supervisor increases, the direct service responsibili-

ties of the supervisor shall decrease. However, in no event shall direct service responsibilities be less than the hours required by KRS 334A.033(1)(b)2., 3. and 4.

Section 2. Treatment for the pupils served shall remain the responsibility of the supervisor. The level of supervision required shall be the ~~[minimum]~~ level necessary for the supervisor to retain direct contact with the pupils.

Section 3. Each ~~SLP-A[speech-language pathology assistant]~~ shall be required to have direct supervision in accordance with their years of experience as defined in KRS 334A.033(1)(b)2., 3., and 4. Specifically, an SLP-A with less than three (3) years of full-time experience shall receive no less than two (2) hours per week of documented direct supervision and two (2) hours per week of indirect supervision from an appropriate supervisor; and, an SLP-A with three (3) or more years of full-time experience shall receive no less than one (1) hour per week of documented direct supervision and one (1) hour per week of indirect supervision from an appropriate supervisor, unless in the professional judgment of the supervisor, a higher level of supervision is necessary to avoid compromising the quality of services provided to students. The direct and indirect hours of supervision delineated above may be adjusted proportionately for less than full-time employment, but in no event shall supervision be less than one (1) hour per week of documented direct or indirect supervision from an appropriate supervisor during any week worked.

~~[This ensures that the supervisor shall have direct contact time with the speech-language pathology assistant as well as with the pupil.]~~

(1) Direct supervision shall be considered to be on-site, in-view observation and guidance as a clinical activity is performed. A speech-language pathology assistant shall be supervised by either:

(a) A speech-language pathologist licensed by the Kentucky Board of Speech-Language Pa-

thology and Audiology; or

(b) An Education Professional Standards Board master's level certification as a teacher of exceptional children in the areas of speech and communication disorders.

(2) Supervision shall provide information about the quality of the SLP-A's~~[speech-language pathology assistant's]~~ performance with assigned tasks and verify that clinical activity is limited to tasks specified in the speech-language pathology assistant's scope of responsibilities.

(3) Information obtained during direct supervision may include data relative to:

(a) Accuracy in implementation of screening, diagnostic, and treatment procedures;

(b) Agreement between the assistant and the supervisor on judgment of target behavior;

(c) Accuracy in recording data; and

(d) Ability to interact effectively with the pupil.

Section 4. Each SLP-A~~[speech-language pathology assistant]~~ shall also be required to receive in direct supervision in accordance with their years of experience as defined in KRS 334A.033(1)(b)2., 3. and 4. Indirect supervision may include:

(1) Demonstration;

(2) Record review;

(3) Review and evaluation of audio or videotaped sessions; or

(4) Supervisory conferences that may be conducted by telephone or other audio or audio-video technology.

Section 5. Direct and indirect supervision shall be required for each speech-language pathology assistant and shall be documented on a weekly basis. Additional direct and indirect supervision may be necessary depending on the experience of the assistant and the needs of the pupil.

Section 6. An SLP-A~~[A speech-language pathology assistant]~~ shall not provide direct services

when a supervising speech-language pathologist cannot be reached by personal contact, phone, or some other immediate means.

Section 7. If, for any reason, including maternity leave, illness, or a change of jobs, the supervisor is no longer available to provide the level of supervision stipulated, the speech-language pathology assistant shall not provide service until a fully qualified speech-language pathologist has been designated as the SLP-A's~~[speech language pathology assistant's]~~ supervisor.

Section 8. Although more than one (1) supervisor may provide supervision of a speech-language pathology assistant, a supervisor shall not be listed as the supervisor of record for more than two (2) SLP-As~~[speech language pathology assistants]~~, in accordance with KRS 334A.033(1)(c). If multiple supervisors are used, each supervisor shall be responsible for that portion of the caseload specifically assigned to the supervisor ~~[that is theirs.]~~

Section 9. The maximum number of pupils served by the SLP-A~~[speech language pathology assistant]~~ shall not exceed the caseload established for a speech-language pathologist by administrative regulation.

Section 10.

(1)

(a) The caseload for a speech-language pathologist who works four (4) days a week, or the equivalent number of hours, in the public school system shall not exceed fifty-two (52) pupils.

(b) The caseload of a speech-language pathologist who supervises SLP-As~~[speech language pathology assistants]~~, and works four (4) days a week, or the equivalent number of hours, in the public school system may be increased by no more than one-half (1/2) of the amount established in subsection (1)(a) of this section. ~~[according to the provisions set forth in KRS 334A.190(2).]~~

(2)

(a) The caseload for a speech-language pathologist who works three (3) days a week, or the equivalent number of hours, in the public school system shall not exceed thirty-nine (39) pupils.

(b) The caseload of a speech-language pathologist who supervises SLP-As~~[speech-language pathology assistants]~~, and works three (3) days a week, or the equivalent number of hours, in the public school system may be increased by no more than one-half (1/2) of the amount established in subsection (1)(a) of this section. ~~[according to the provisions set forth in KRS 334A.190(2).~~

(3)

(a) The caseload for a speech-language pathologist who works two and one-half (2 1/2) days a week, or the equivalent number of hours, in the public school system shall not exceed thirty-two (32) pupils.

(b) The caseload of a speech-language pathologist who supervises SLP-As~~[speech-language pathology assistants]~~, and works two and one-half (2 1/2) days a week, or the equivalent number of hours, in the public school system may be increased by no more than one-half (1/2) of the amount established in subsection (1)(a) of this section. ~~[according to the provisions set forth in KRS 334A.190(2).]~~

(4)

(a) The caseload for a speech-language pathologist who works two (2) days a week, or the equivalent number of hours, in the public school system shall not exceed twenty-six (26) pupils.

(b) The caseload of a speech-language pathologist who supervises SLP-As~~[speech-language pathology assistants]~~, and works two (2) days a week, or the equivalent number of hours, in the public school system may be increased by no more than one-half (1/2) of the amount established in subsection (1)(a) of this section. ~~[according to the provisions set forth in KRS 334A.190(2).]~~

(5)

(a) The caseload for a speech-language pathologist who works one (1) day a week, or the equivalent number of hours, in the public school system shall not exceed thirteen (13) pupils.

(b) The caseload of a speech-language pathologist who supervises SLP-As~~[speech language pathology assistants]~~, and works one (1) day a week, or the equivalent number of hours[,] in the public school system may be increased by no more than one-half (1/2) of the amount established in subsection (1)(a) of this section. ~~[according to the provisions set forth in KRS 334A.190(2).]~~

Section 11. Any change in supervision for an SLP-A shall be reported to the board utilizing the "Change in Supervision", form DPL-SLPA-07, incorporated by reference in 201 KAR 17:025.

201 KAR 17:027

APPROVED:



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Board Chair

Board of Speech-Language Pathology and Audiology

Date: July 15, 2026